



Donation of Securities Approval Form

GENERAL INSTRUCTIONS

IMPORTANT: To avoid delays in processing transfers of securities, please (1) Fax or email this form to York University (Attn: Tina Dang, Senior Financial Analyst, Advancement Services AND Marisa Barlas, Associate Director Advancement, Gift Planning), prior to your broker making the transfer, and (2) Deliver original to your broker to initiate. *Please do not send this form directly to HollisWealth; HollisWealth may act only on York University's direction.*

York University Fax: (416) 650 - 8220
Email: dangt@yorku.ca and barlas@yorku.ca

DONOR INFORMATION

Donor Name:		Please designate my gift to:	
Name of Owner of Securities if Different from the Donor:			
Address:			
City:		Province:	Postal Code:
Telephone:	Ext:	Fax:	Email:

DESCRIPTION OF SECURITY

Type: <input type="checkbox"/> Publicly Listed Stock	<input type="checkbox"/> Bonds	<input type="checkbox"/> Debentures	Other (Please Specify)
Quantity:	Name of Security:		
CUSIP /Symbol (for Electronic Transfer):	CUID # (If Bonds):	CODE CTRA (If Government Bonds):	
Reference/Account #:	FINS #:		

BROKER INFORMATION (Name of Broker, Agent, or Custodian who will Effect the Transfer)

Firm:	Contact:		
Telephone:	Ext:	Fax:	Email:

AUTHORIZATION

Signature: _____ Date: _____
(of Security Owner)

TRANSFER INSTRUCTIONS – FOR USE BY BROKER ONLY

NOTE: Electronic transfer is preferred for the delivery of securities. To send securities electronically, system specifications must be set up by the sender and the receiver. The broker or transfer agent should make the transfer as follows:

HollisWealth
Suite 106 – 3950 14th Avenue
Markham, ON L3R 0A9
Attention: Raymond Choo Tel: (416) 410-4098 Fax: (416) 848-0728

Receiving Institution: HollisWealth Dealer: 7923 REP: SN57
Name of Account: York University Account#: 38-DFSM-A CUID#: NBCS DTC#: 5008
BN/Registration Number: 11930 6736 RR0001

York University's Standing Instructions to HollisWealth. are to receive and sell each gift of securities: (1) validate York's authorization, set up for and confirm receipt, determine closing fair value of the transferred-in gift of securities, (2) liquidate/redeem gifted securities in full within a reasonably prompt time following settlement, with due consideration to constraints including redemption dates and liquidity. The Standing Instructions hold unless communicated otherwise. Authorization from a York University designated signing authority is required to proceed. This form has been duly received by York University Division of Advancement and forwarded to HollisWealth. INITIALLED: _____